

How does biomedical research funding work in the U.S.?

Learning Objectives

By the end of this lesson you will be able to...

- Describe the landscape of R&D (specifically biomedical) funding in the U.S. and in our local context
- Identify examples of federally-funded research
- Outline common steps in the grant review process

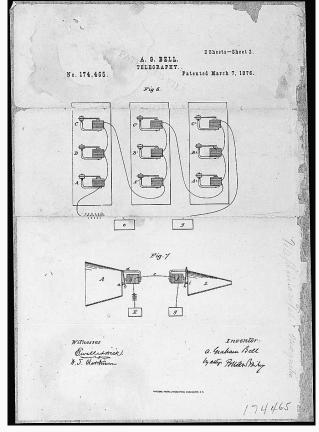


Image: Wikipedia

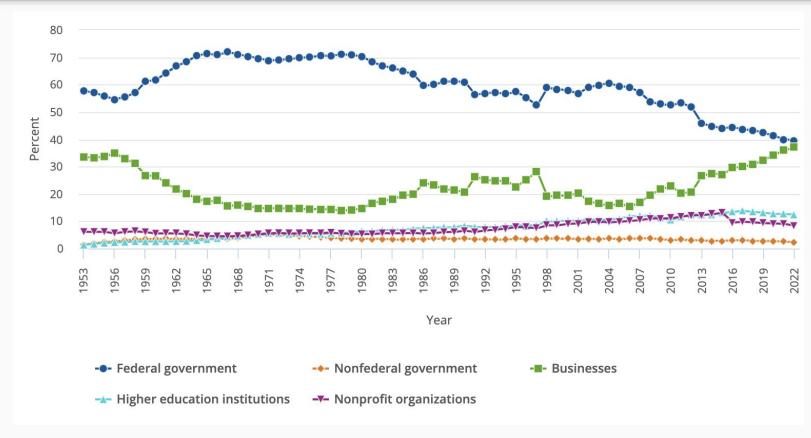
Research & Development (R&D) funding, historically speaking

In the 1870s, Alexander Graham Bell developed the telephone with funding from the wealthy father of one of his students.

There was a huge explosion of government funding for research after World War II.

Since then, the proportion of R&D supported by federal funding has decreased slightly...

In the past ~70 years, the share of research funded by the federal government has decreased



Source: https://ncses.nsf.gov/pubs/nsf24332

Research costs money.

How does a typical (neuroscience) research lab pay their bills?



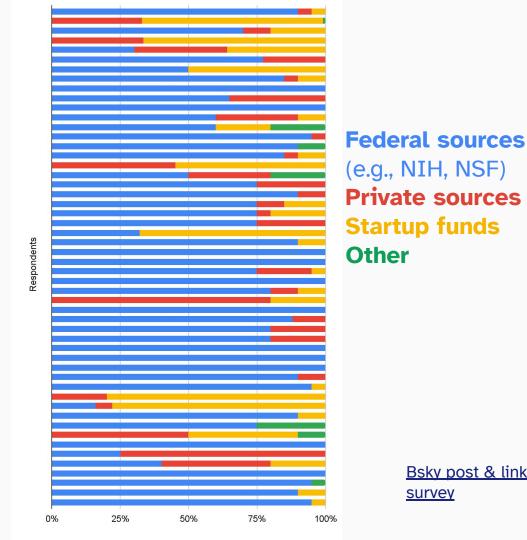
Center for Neural Circuits & Behavior @ UCSD

Lab budget breakdowns 💸

52 labs 89% R1 institutions

85% neuroscience 25% biology 21% psychology

Other = foundation funding or discretionary funds from university



Bsky post & link to

survey

The federal government supports R&D through various agencies

- Government agencies are funded with taxpayer dollars
- The NIH represents about one-fifth of total federal R&D funding and close to half of all federal R&D spending outside of the Department of Defense

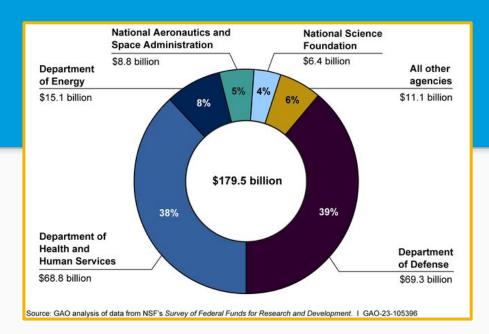


Image: Federal Research and Development: Funding Has Grown since 2012 and Is Concentrated within a Few Agencies | U.S. GAO

Look at this over time instead: Federal R&D Budget Dashboard | (AAAS)

The **National Institutes of Health** (NIH) is the primary funding agency for biomedical research in the U.S. (and the *largest funder of biomedical research in the world*)

- The NIH mission is "to seek fundamental knowledge about the nature and behavior of living systems and the application of that knowledge to enhance health, lengthen life, and reduce illness and disability."
- Began as a one-room "Laboratory of Hygiene" in 1887
- Housed under the U.S. Department of Health & Human Services, along with the Centers for Disease Control (CDC) the Food & Drug Administration (FDA), and many other agencies
- Supports intramural funding for its own NIH-operated research facilities as well as extramural funding to others



Image: NIH

The NIH has 27 different institutes & centers

Highlighted institutes support neuroscience research

National Cancer Institute (NCI) — Est. 1937 National Eye Institute (NEI) — Est. 1968 National Heart, Lung, and Blood Institute (NHLBI) — Est. 1948 National Human Genome Research Institute (NHGRI) — Est. 1989 National Institute on Aging (NIA) — Est. 1974 National Institute on Alcohol Abuse and Alcoholism (NIAAA) — Est. 1970 National Institute of Allergy and Infectious Diseases (NIAID) — Est. 1948 National Institute of Arthritis and Musculoskeletal and Skin Diseases (NIAMS) — Est. 1986 National Institute of Biomedical Imaging and Bioengineering (NIBIB) — Est. 2000 Eunice Kennedy Shriver National Institute of Child Health and Human Development (NICHD) — Est. 1962 National Institute on Deafness and Other Communication Disorders (NIDCD) — Est. 1988 National Institute of Dental and Craniofacial Research (NIDCR) — Est. 1948 National Institute of Diabetes and Digestive and Kidney Diseases (NIDDK) — Est. 1950 National Institute on Drug Abuse (NIDA) — Est. 1974 National Institute of Environmental Health Sciences (NIEHS) — Est. 1969 National Institute of General Medical Sciences (NIGMS) — Est. 1962 National Institute of Mental Health (NIMH) — Est. 1949 National Institute on Minority Health and Health Disparities (NIMHD) — Est. in 1993 National Institute of Neurological Disorders and Stroke (NINDS) — Est. 1950 National Institute of Nursing Research (NINR) — Est. 1986 National Library of Medicine (NLM) — Est. 1956

Slide: Shelley Halpain

What was the annual budget for the NIH in 2024?

I. \$47,000

II. \$47 million

III. \$47 billion

IV. \$47 trillion



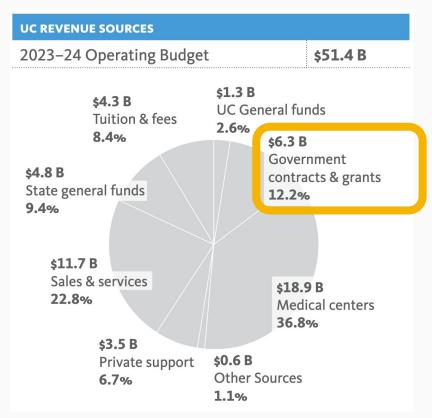
Let's see how NIH funding has impacted our state



https://www.unitedfor medicalresearch.org/ nih-in-your-state/

How is the University of California system funded?





Source: https://ucop.edu/institutional-research-academic-planning/_files/uc-facts-at-a-glance.pdf;

How is the University of California system funded?

More than half of UC research funding is from federal sources (UC Report, 2023)

Many grant mechanisms pay **indirect** costs to universities

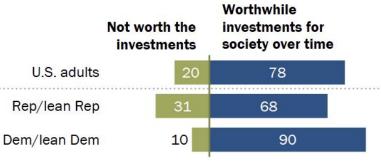
| RESEARCH IMPACT | |
|--|----------|
| Inventions per day (avg.) | 4 |
| Inventions disclosed (FY2022) | 1,570 |
| Startups from UC intellectual property/technology (THRU FY2022) | 1,590 |
| Active US and foreign patents (THRU FY2022) | 13,702 |
| MANY OF CALIFORNIA'S LEADING INDUSTRIES GREW FROM UC RESEARCH, INCLUDING BIOTECHNOLOGY, COMPUTING, SEMICONDUCTORS, TELECOMMUNICATIONS AND AGRICULTURE. | |
| RESEARCH FUNDING | |
| Research awards | \$7.40 B |
| Federal research awards | \$4.08 B |
| Federal research contracts/grants | 7,116 |
| UC IS AWARDED MORE NIH AND NSF FUNDING THAN ANY OTHER INSTITUTION IN THE COUNTRY. | |

Source: https://ucop.edu/institutional-research-academic-planning/_files/uc-facts-at-a-glance.pdf;

How do people generally feel about investments in science?

Most U.S. adults believe investments are worthwhile, but there are differences across political parties and educational levels

78% say U.S. government investments in scientific research are worthwhile % of U.S. adults who say government investments in scientific research aimed at advancing knowledge are usually ... Worthwhile



Note: Respondents who did not give an answer are not shown. Source: Survey of U.S. adults conducted Sept. 25-Oct. 1, 2023. "Americans' Trust in Scientists, Positive Views of Science Continue to Decline"

PEW RESEARCH CENTER

The NIH funds an extensive portfolio of biomedical research

- The NIH is the primary supporter of basic
 biomedical research, helping us understand how the body works across many different levels
- 174 NIH-funded researchers have received Nobel Prizes for their work
- NIH-funded research was behind every single new drug approved by the FDA from 2010-2016 (Galkina et al., 2018)
- Such advances have been credited with helping increase life expectancy and prevent millions of deaths

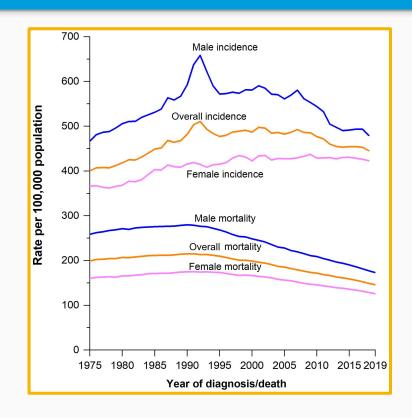


Katalin Karikó, biochemist whose NIH-funded work was the foundation for mRNA-based vaccines (e.g., Moderna COVID19 vaccine)

Image: Wikipedia

Case study: Impact of NIH funding on cancer

- The <u>American Cancer Society</u> estimates that **4.1 million cancer deaths** were averted from 1991 to 2024 by improvements in cancer treatment, detection, and prevention
- NIH-funded research has helped to identify major cancer subtypes and led to development of new, often tailored treatments for a variety of cancers



Siegel et al., 2022

Other examples of the power of basic science

- Retroviruses before the discovery of HIV
- Light-sensitive proteins in algae, <u>now used</u> in optogenetic biotechnology
- Parasitic snail venom, which helps treat pain
- Venom from Gila monsters, which contains
 GLP-1 agonists, <u>used for weight loss</u>
- Narcoleptic dogs <u>helped us discover the</u> <u>sleep drug suvorexant</u>

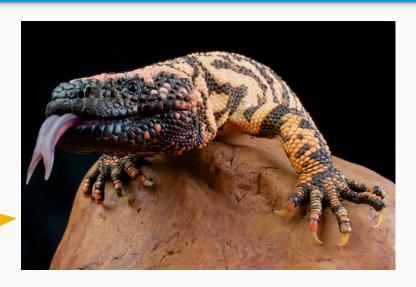


Image: Getty

There are also direct **economic** impacts of NIH activity

- NIH spending results in \$2.46 in economic activity for every \$1 spent (<u>United for Medical Research FY2023</u> report)
- The NIH alone supports more than 400,000 jobs nationwide
 - Supports 300,000 scientists and research personnel working at over 2,500 institutions across the U.S. and abroad (<u>CRS 2025</u>)



SENSUS IMPACT

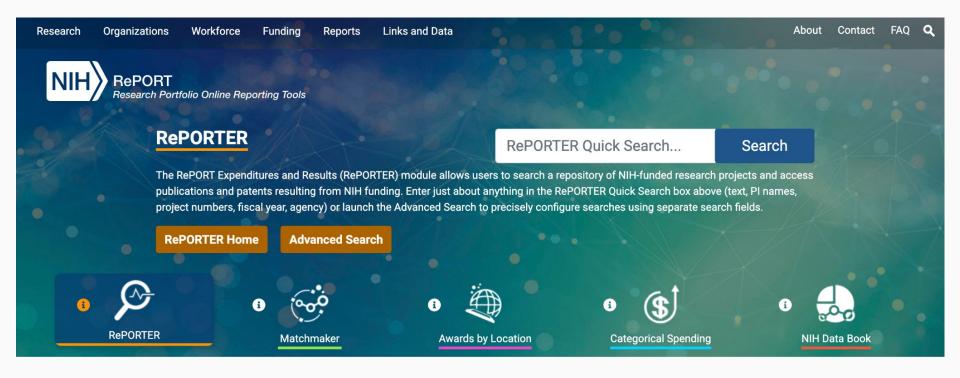
A community initiative that delivers standardized & shared infrastructure to report the impact of funded research publications

Let's look at what the NIH has funded lately https://www.sensusimpact_com/funders/nih

Information for Funders

Information for Publishers

You also can see all NIH-funded research projects on report.nih.gov



Primary NIH grant: R01

- "To support a discrete, specified, circumscribed project to be performed by the named investigator(s) in an area representing his or her specific interest and competencies." (NIH Website)
- NIH's most commonly used grant program for independent projects
- No specific dollar limit unless specified (<u>typically ~\$500,000</u>)
- Generally awarded for 3 to 5 years of work
- Scored using <u>very rigid</u>, <u>prescribed system</u>
- Some of the money granted goes to the institution/university itself —
 "indirect" costs

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How do scientists obtain research funding?

A scientist (formally called a Principal Investigator, or PI) has a research idea



They identify a funding mechanism (e.g., through NIH) that could support their research idea



They prepare a huge grant proposal and apply for the grant





They use the money to pursue their research question, and share their findings in peer-reviewed papers as well as progress reports back to the funding agency.



They are granted the money!





The grant is assigned to a group of scientist experts to review ("Study Section") and is assessed based on strict criteria

Ethics Review Process

Grants and the research that they support also undergo ethics review:

- The <u>Institutional Animal Care and Use Committee</u> is responsible for oversight of the animal care
- <u>Institutional Review Boards (IRBs)</u> assess the ethics and safety of research studies involving human subjects, such as behavioral studies or clinical trials for new drugs or medical devices



Investigator submits research protocol and materials to the IRB for review.



The IRB may approve, require modifications, or disapprove the protocol.



Once the IRB approves the protocol, the investigator may begin recruiting and enrolling subjects.



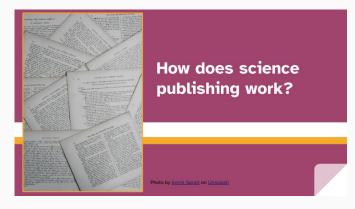
The investigator continues to communicate with the IRB for the duration of the study.

Image Source: https://www.gao.gov/products/gao-23-104721

Source: GAO analysis of Department of Health and Human Services information. | GAO-23-104721

Additional Resources

- The history and future of scientific funding <u>https://www.bu.edu/articles/2015/funding-for-scientific-research/</u>
- NSF study on Science and Technology: Public Attitudes, Knowledge, and Interest: https://ncses.nsf.gov/pubs/nsb20207/executive-summary
- A list of books related to obtaining government funding: https://libquides.wpi.edu/funding/books
- The impact of the human genome project: https://doe-humangenomeproject.ornl.gov/economic-impact/
- Learn more about the NIH & its impact:
 https://www.actfornih.org/10-things-you-need-to-know-about-the-n/
- For educators (how to teach about science funding!):
 https://www.thetransmitter.org/how-to-teach-this-paper/how-to-teach-students-about-science-funding/
- https://www.coalitionforlifesciences.org/



Another open slide deck on science publishing!

Many thanks to many people on Bsky who (<u>in response to this</u> <u>post</u>) provided useful references and ideas for this slide deck.